

IN RE CAPACITORS ANTITRUST LITIGATION INDIRECT PURCHASER ACTIONS ANTITRUST LITIGATION

CASE SUMMARY

Site: www.capacitorsindirectcase.com

Case Description and Background

Plaintiffs allege that the defendants conspired to fix, maintain or stabilize prices for both tantalum and aluminum capacitors. These include tantalum capacitors and aluminum electrolytic capacitors (Capacitors) sold in the United States. Capacitors are passive electronic components which are used to store electricity and release it on demand. Capacitors are incorporated into almost every electronic device, including audio/video equipment, telecommunication equipment, computers and automobile electronics.

Hitachi Chemical Class

a. All persons and entities in the United States who, during the period from April 1, 2002 to February 28, 2014, purchased one or more Electrolytic Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-conspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and Affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased Capacitors from Defendants.

b. All persons and entities in the United States who, during the period from January 1, 2002 to February 28, 2014 purchased one or more Film Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-conspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and Affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased Capacitors from Defendants.

Soshin Class

All persons and entities in the United States who, during the period from January 1, 2002 to February 28, 2014, purchased one or more Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-conspirator manufactured. Excluded from the Class are Defendants; their parent companies, subsidiaries and Affiliates; any co-conspirators; Defendants' attorneys in this case; federal government entities and instrumentalities, states and their subdivisions; all judges assigned to this case; all jurors in this case; and all Persons who directly purchased Capacitors from Defendants.

NCC/UCC and Rubycon Classes

a. All persons and entities in the United States who, during the period from April 1, 2002 to February 28, 2014, purchased one or more Electrolytic Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-

conspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and Affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased Capacitors from Defendants.

b. All persons and entities in the United States who, during the period from January 1, 2002 to February 28, 2014 purchased one or more Film Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-conspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and Affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased Capacitors from Defendants.

Holystone Class

All persons and entities in the United States who, during the period from April 1, 2002 to February 28, 2014, purchased one or more Electrolytic Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged co-conspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and Affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased Capacitors from Defendants.

NEC TOKIN Class

All persons and entities in the United States who, during, the period from April 1, 2002 to July 15, 2016, purchased directly from a distributor one or more Capacitor(s) from a distributor that a Defendant manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case.

Nitsuko Class

All persons and entities in the United States who, during, the period from January 1, 2003 to March 29, 2016, purchased one or more Capacitor(s) from a distributor that a Defendant manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased capacitors from Defendants.

Okaya Class

All persons and entities in the United States who, during, the period from January 1, 2002 to April 14, 2016, purchased one or more Capacitor(s) from a distributor (or from an entity other than a Defendant) that a Defendant or alleged coconspirator manufactured. Excluded from the Class are Defendants, their parent companies, subsidiaries and affiliates, any co-conspirators, Defendants' attorneys in this case, federal government entities and instrumentalities, states and their subdivisions, all judges assigned to this case, all jurors in this case, and all persons and entities who directly purchased capacitors from Defendants.

Defendants

- Hitachi Chemical Co., Ltd.
- Hitachi Aic Inc
- Hitachi Chemical Co. America, Ltd.
- Soshin Electric Co., Ltd.
- Soshin Electronics Of America, Inc.
- Rubycon Corp.

- Rubycon America, Inc.
- Holy Stone Enterprise Co., Ltd.
- Holy Stone Holdings Co., Ltd.
- Holy Stone Polytech Co., Ltd.
- Milestone Global Technology, Inc
- Nippon Chemi-Con Corp.
- United Chemi-Con, Inc.
- NEC TOKIN Corp.
- NEC TOKIN America, Inc.
- Okaya Electric Industries Co., Ltd.
- Nitsuko Electronics Corporation
- Elna Co., Ltd.
- Elna America Inc.
- Hitachi Chemical Co., Ltd.
- Hitachi ChemicalCo. America
- Hitachi AIC Inc., Ltd.
- Matsuo Electric Co., Ltd.
- Nichicon Corp.
- Nippon Chemi-Con Corp.
- United ChemiCon,Inc.
- Matsuo Electric Co., Ltd.
- Nichicon Corp.
- Nichicon America Corp.
- Nissei Electric Co., Ltd.
- Panasonic Corp.
- Panasonic Corp. of North America
- Rubycon Corp., Rubycon America Inc.
- SANYO Electric Co., Ltd.
- SANYO ElectronicDevice (U.S.A.) Corp.
- Shinyei Technology Co., Ltd.
- Soshin Electric Co., Ltd.
- Taitso Corp.
- Toshin Kogyo Co., Ltd.

Venue and Case Number

This case is pending in the US District Court for the Northern District of California, San Francisco Division and is identified by Case No. 3:14-cv-03264-JD.

Settlements to Date Total 52,850,000

Hitachi Chemical	14,000,000
Soshin	3,900,000
NCC/UCC	\$13,500,000
Rubycon	\$4,500,000
Holystone	\$2,000,000
NEC Tokin	\$13,250,000
Nitsuko	\$800,000
Okaya	\$900,000

Filing Deadline: TBD. The claims process has not yet begun.

